

# GBR 2017

## Speeding-Up Graph-based Keyword Spotting in Historical Handwritten Documents

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Plantation of Charles Sellers - the rest to Captain  
Cokes' company, at Nicholas Reasmers.  
October 26<sup>th</sup> G.W.

Winchester: October 28<sup>th</sup> 1755.  
Parole Hampton.

The officers who came down  
from Fort Cumberland with Colonel  
Washington, are immediately to go Recrui-

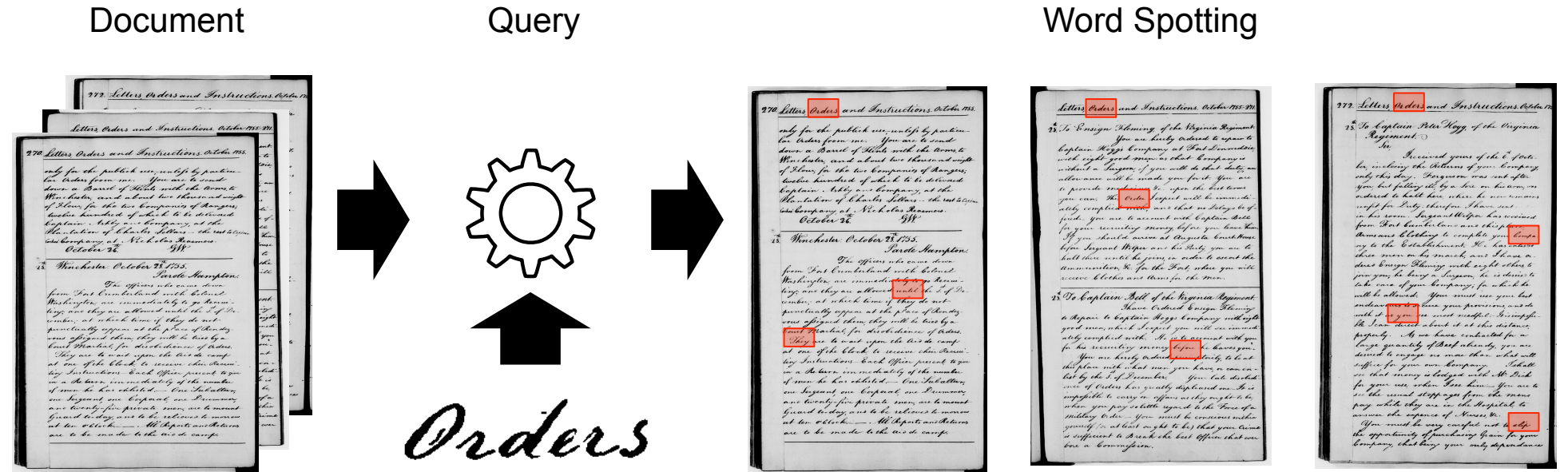
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- What is Keyword Spotting
- Graph-based Keyword Spotting
  - Image Preprocessing
  - Graph Representation
  - Fast Rejection Method
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- Conclusion + Future Work
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# What is Keyword Spotting

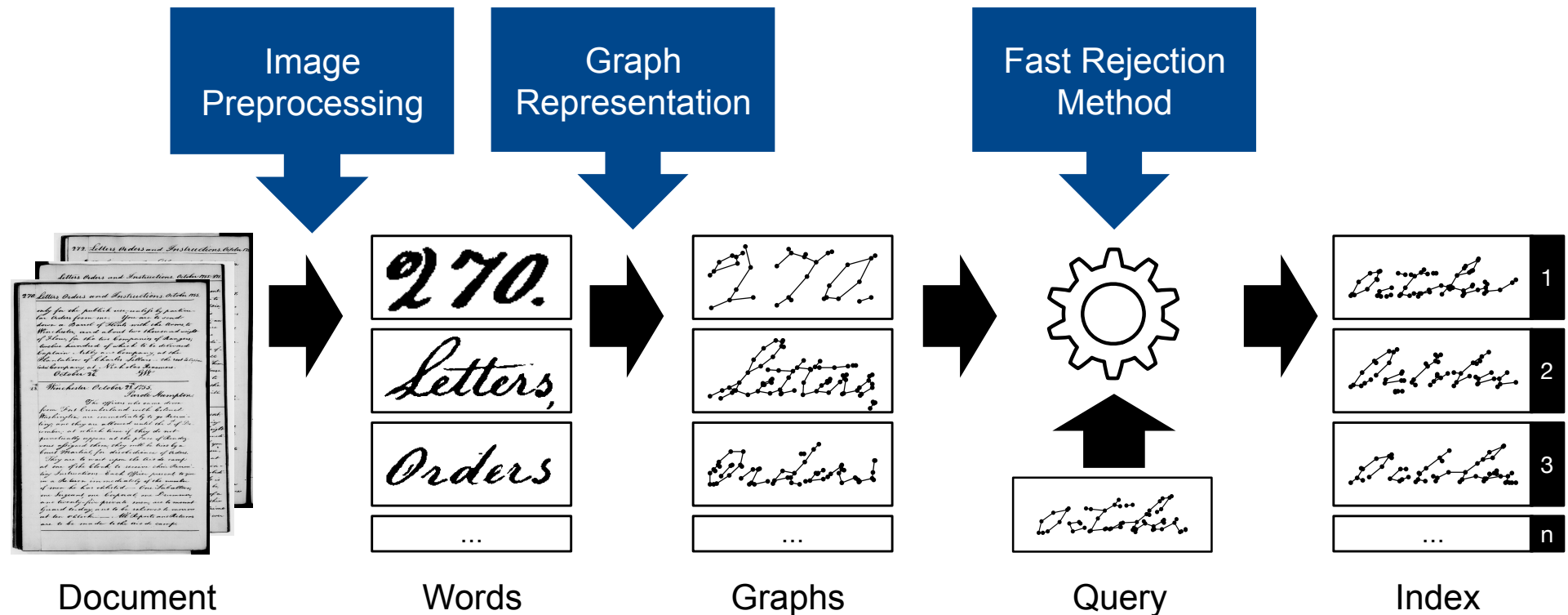
Keyword Spotting (KWS) is the task of retrieving any instance of a given query word in speech recordings or text images.

➔ Focus on handwritten, historical documents

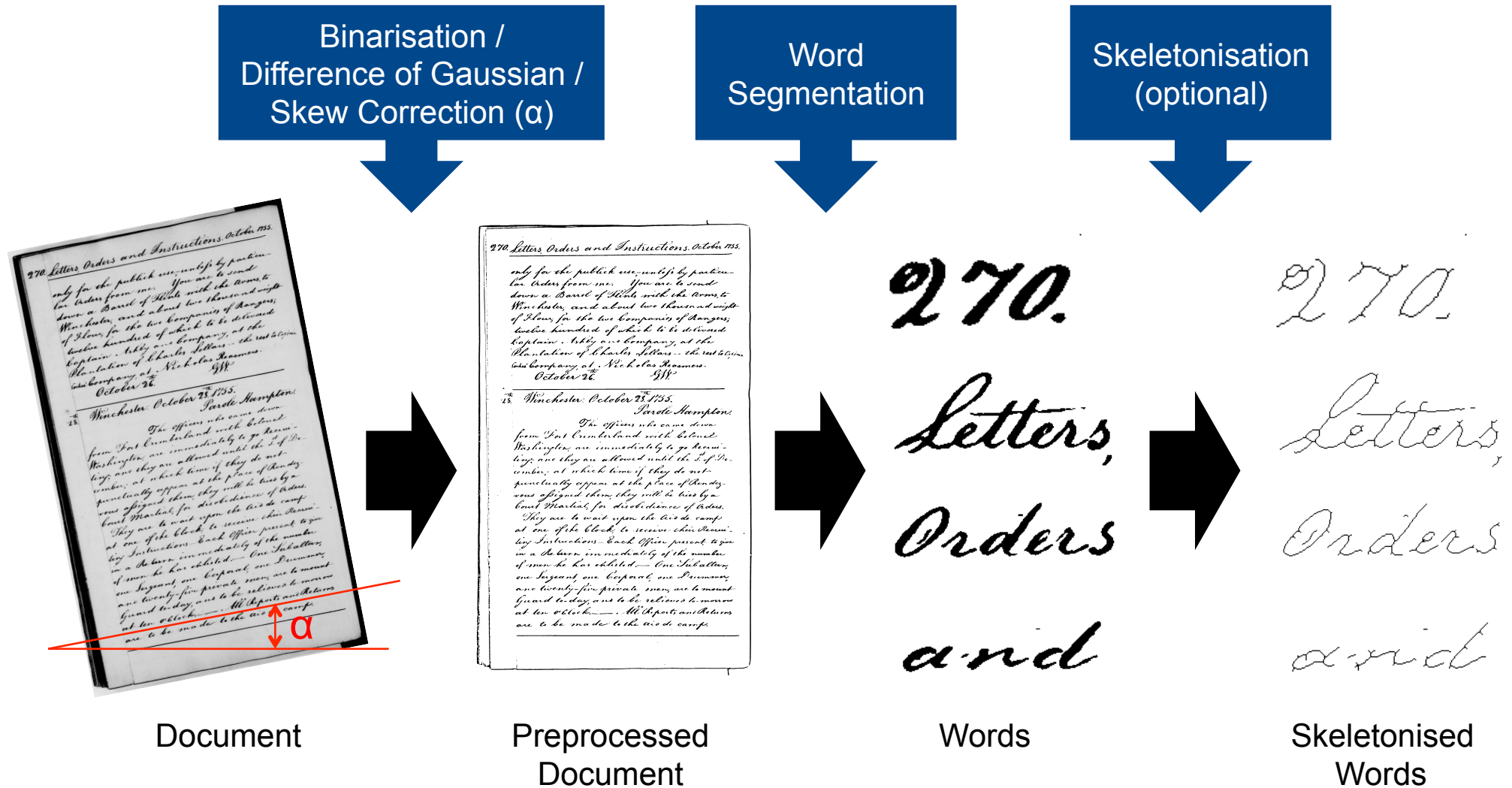


## Graph-based Keyword Spotting – Overview

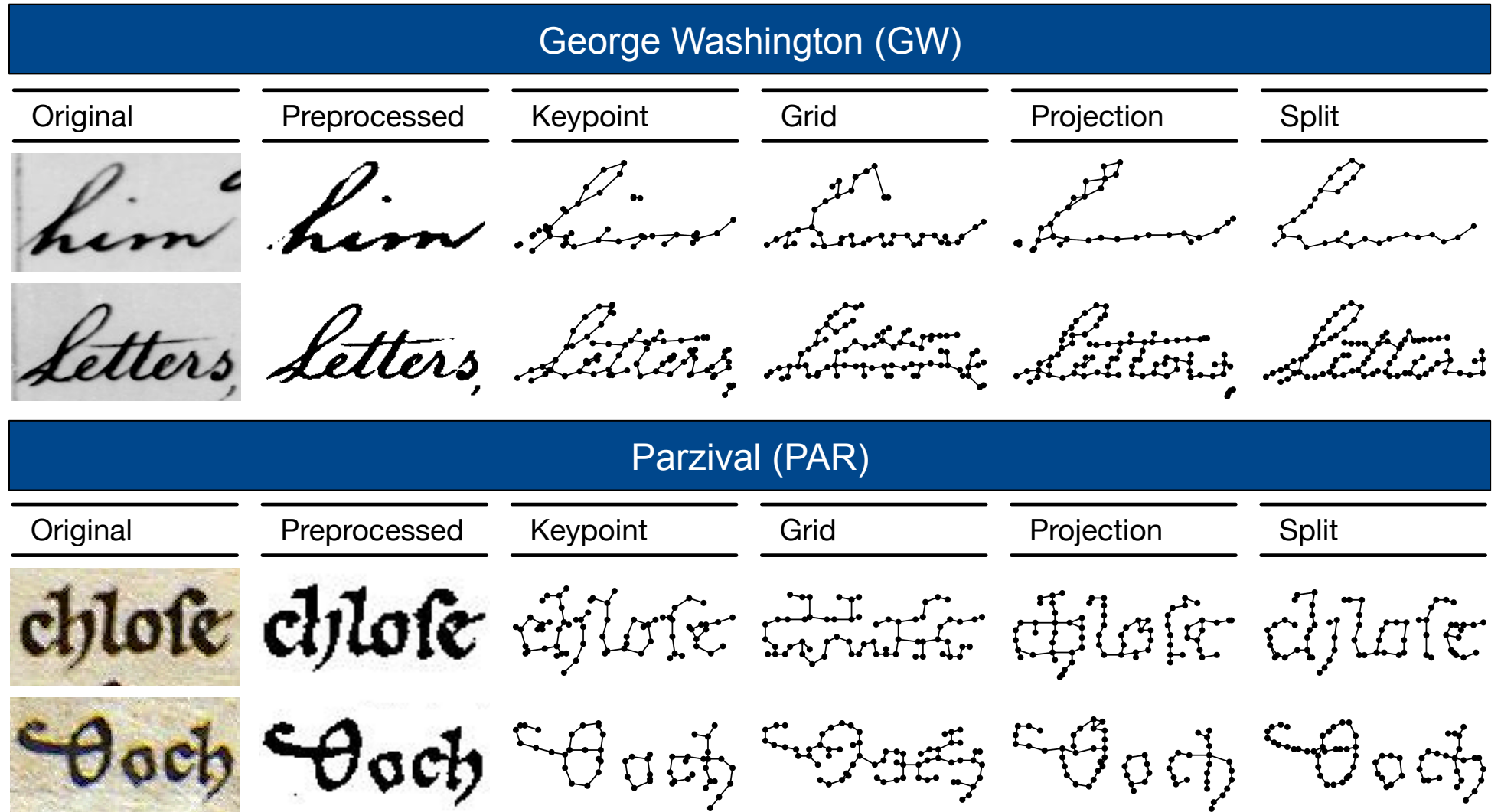
Graph-based KWS is based on the **representation of words** by means of different **graphs**. This representations are eventually used to **retrieve a keyword** by **matching a query graph with all document graphs**.



# Graph-based Keyword Spotting – Image Preprocessing



## Graph-based Keyword Spotting – Graph Representation

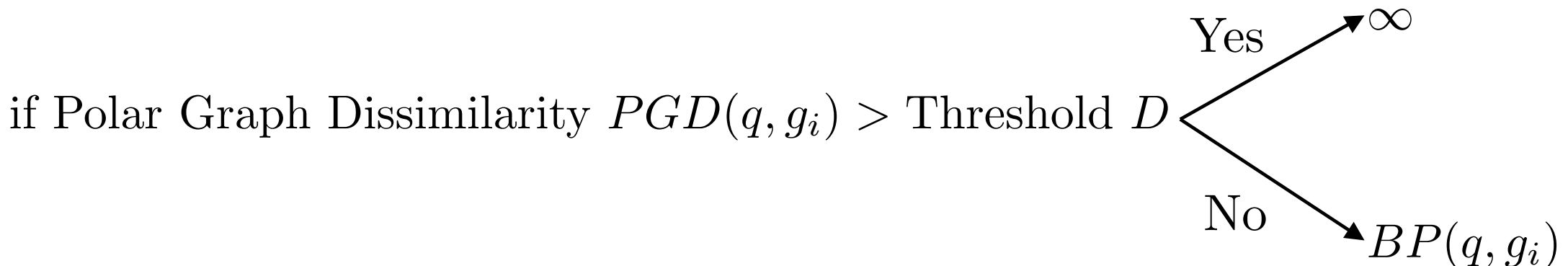


## Graph-based Keyword Spotting – Fast Rejection Method

The actual KWS is based on **matching** a **query graph**  $q$  with a set of **document graphs**  $G = \{g_1, \dots, g_N\}$  by means of **Bipartite Graph Edit Distance (BP)**.

➡  $q \times |G|$  matchings with cubic time complexity

**Fast rejection = Filtering graphs with high dissimilarity** and thus speeding up the KWS procedure without negatively affecting the retrieval accuracy.

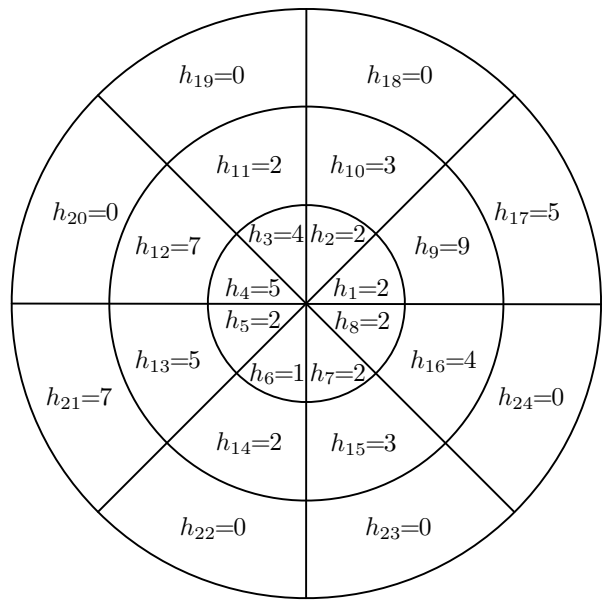
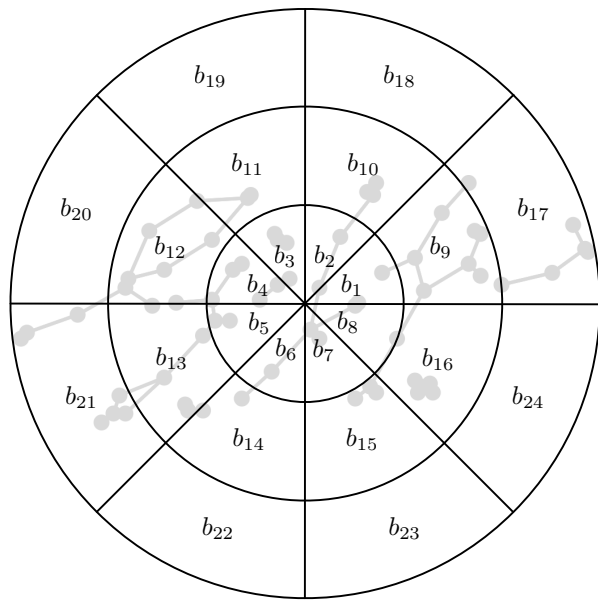
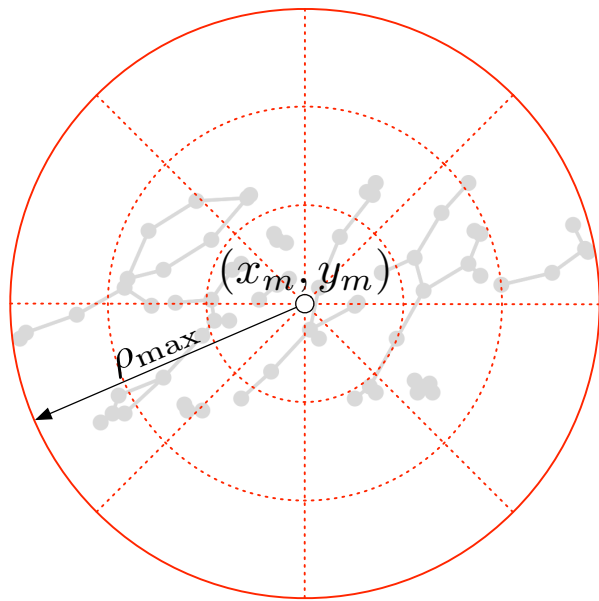


# Graph-based Keyword Spotting – Fast Rejection (Construction of PGD)

Define centre of mass  $(x_m, y_m)$  and radius  $\rho_{max}$

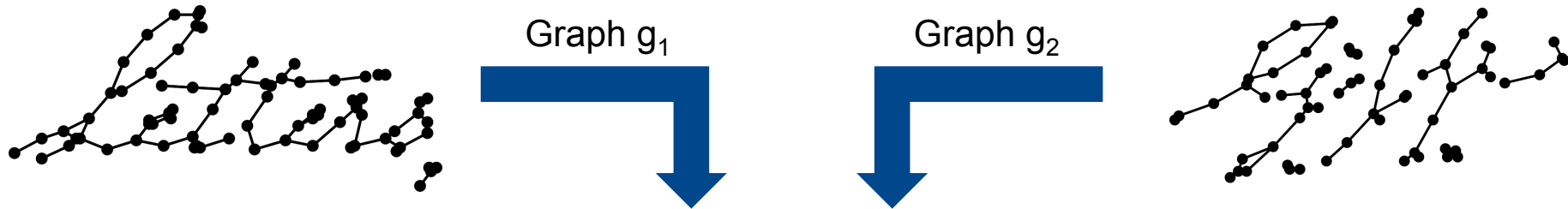
Polar graph segmentation based on  $u_{max}$  and  $v_{max}$ , i.e. 3 and 8 (24 bins)

Create histogram  $H=\{h_1, \dots, h_n\}$  by counting the number of nodes per segment  $h_i$





## Graph-based Keyword Spotting – Fast Rejection (Computation of PGD)




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### Algorithm 1 Polar Graph Dissimilarity (PGD)

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**Input:** Graphs  $g_1$  and  $g_2$ , recursion depth  $r$

**Output:** Polar graph dissimilarity between graph  $g_1$  and  $g_2$

- 1: **function** PGD( $l, g_1, g_2$ )
  - 2:     Create histogram  $H_1$  based on  $g_1$ , and histogram  $H_2$  based on  $g_2$
  - 3:     Calculate  $\chi^2$ -distance  $d(H_1, H_2)$
  - 4:     **if**  $l$  equal  $r$  **then**
  - 5:         **return**  $d$
  - 6:     Segment  $g_1$  and  $g_2$  based on quadtree to  $g_{1_1}, g_{1_2}, g_{1_3}, g_{1_4}$  and  $g_{2_1}, g_{2_2}, g_{2_3}, g_{2_4}$
  - 7:     **return**  $(\sum_{i=1}^4 \text{PGD}(l + 1, g_{1_i}, g_{2_i})) + d$
- 

$PGD(g_1, g_2) = 743$ 
    actually used in
     $d(q, g_i) \begin{cases} \infty, & \text{if } PGD(q, g_i) > D \\ BP(q, g_i), & \text{otherwise} \end{cases}$

## Experiments – Setup

KWS experiment is based on two datasets

- **George Washington**
- **Parzival**

Quality is measured by **Average Precision (AP)** for global thresholds, **mean Average Precision (mAP)** for local thresholds, and **Filter Rate (FR)**.

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Recall     = True Positives / (True Positives + False Negatives)

Precision = True Positives / (True Positives + False Positives)

AP         = Area under the curve of the Recall-Precision curve

mAP       = Average area under the curve of Recall-Precision curves

FR         = Relative amount of pairwise matchings that is filtered

## Experiments – Results KWS (mAP) / Validation of Polar Graph Dissimilarity (PGD)

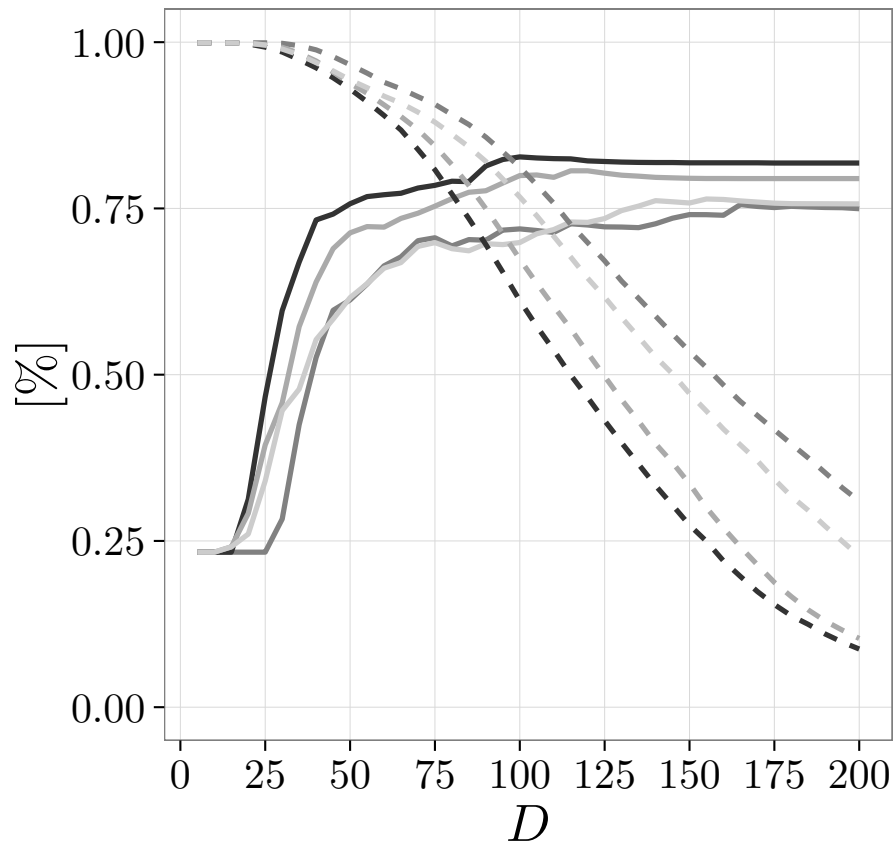
Optimal  $u_{\max}$  and  $v_{\max}$  for recursion level  $l = 1$  and  $2$

We optimised the MAP for  $\mathbf{u}_{\max} = \{1, 2, 3, 4, 5, 6\}$  and  $\mathbf{v}_{\max} = \{4, 8, 12, 16, 20, 24, 28, 32, 36, 40\}$  for two recursion levels  $l = \{1, 2\}$ .

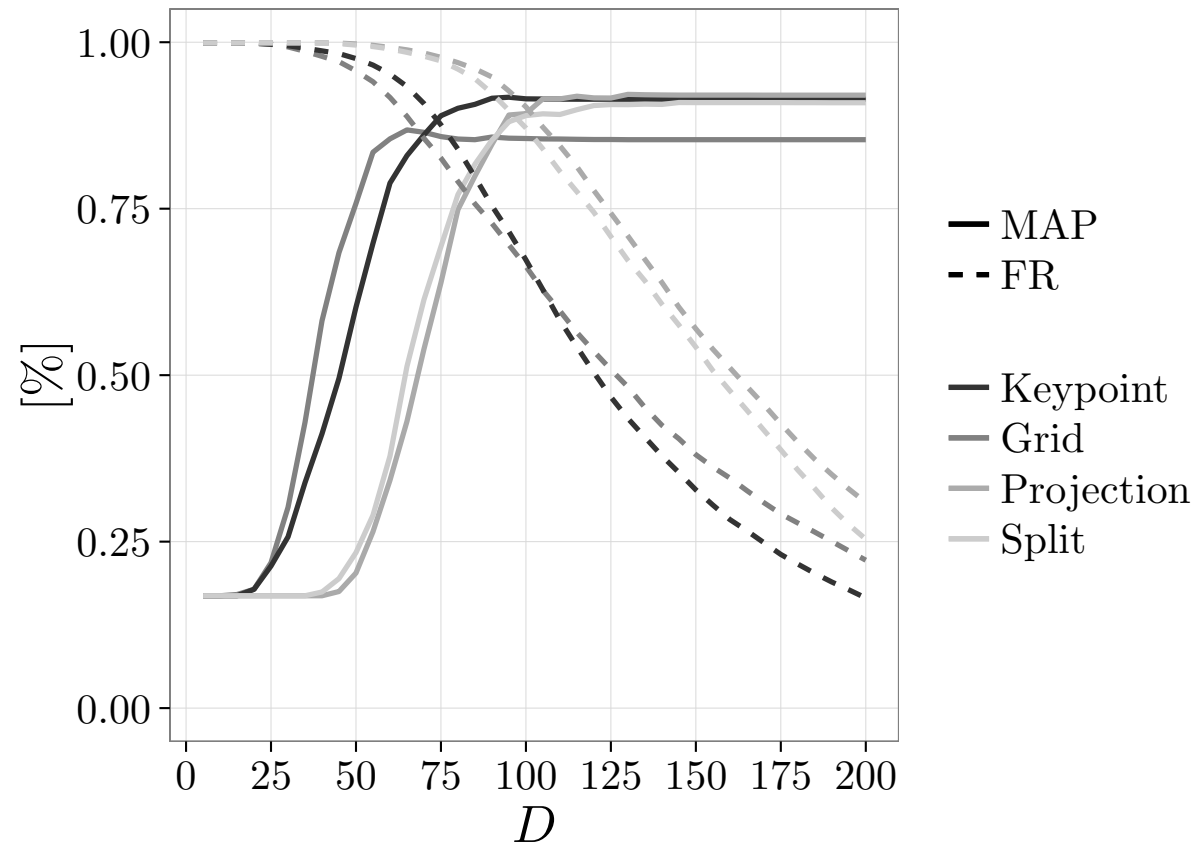
Method	GW				PAR			
	$l = 1$		$l = 2$		$l = 1$		$l = 2$	
	$u_{\max}$	$v_{\max}$	$u_{\max}$	$v_{\max}$	$u_{\max}$	$v_{\max}$	$u_{\max}$	$v_{\max}$
Keypoint	4	12	1	6	3	20	2	6
Grid	5	24	1	4	4	20	1	6
Projection	5	16	1	4	3	36	3	4
Split	4	20	1	4	3	40	2	6

## Experiments – Results KWS (mAP) / Validation of Rejection Threshold $D$

George Washington



Parzival



## Experiments – Results KWS (mAP) / Testing GW

### KWS without Fast Rejection BP vs. KWS with Fast Rejection BP-FR

	Method	MAP	$\pm$	AP	$\pm$	FR
BP	Keypoint	66.08		54.99		0.00
	Grid	60.02		46.44		0.00
	Projection	61.43		48.69		0.00
	Split	60.23		47.96		0.00
BP-FR	Keypoint	68.81	+4.12	55.68	+1.25	69.04
	Grid	62.59	+4.27	47.48	+2.23	54.65
	Projection	64.65	+5.25	50.41	+3.53	61.04
	Split	63.49	+5.41	46.95	-2.11	47.70

## Experiments – Results KWS (mAP) / Testing PAR

### KWS without Fast Rejection BP vs. KWS with Fast Rejection BP-FR

	Method	MAP	$\pm$	AP	$\pm$	FR
BP	Keypoint	62.04		60.74		0.00
	Grid	56.50		44.08		0.00
	Projection	66.23		60.61		0.00
	Split	59.44		55.46		0.00
BP-FR	Keypoint	67.70	+9.12	58.03	-4.46	58.72
	Grid	63.41	+12.23	38.59	-12.45	78.71
	Projection	72.02	+8.74	55.83	-7.89	58.10
	Split	65.65	+10.45	56.97	+2.72	39.24

## **Conclusion + Future Work**

### **Conclusion**

- Novel graph dissimilarity measure (PGD) for fast rejection of KWS matchings
- PGD reduces the amount of graph matchings by 50% or more
- KWS accuracy is not negatively affected

### **Future Work**

- Consider not only nodes but also edges in PGD histograms
- Consider further graph matching algorithms (e.g. Hausdorff Edit Distance)

**Q+A**

**? !**



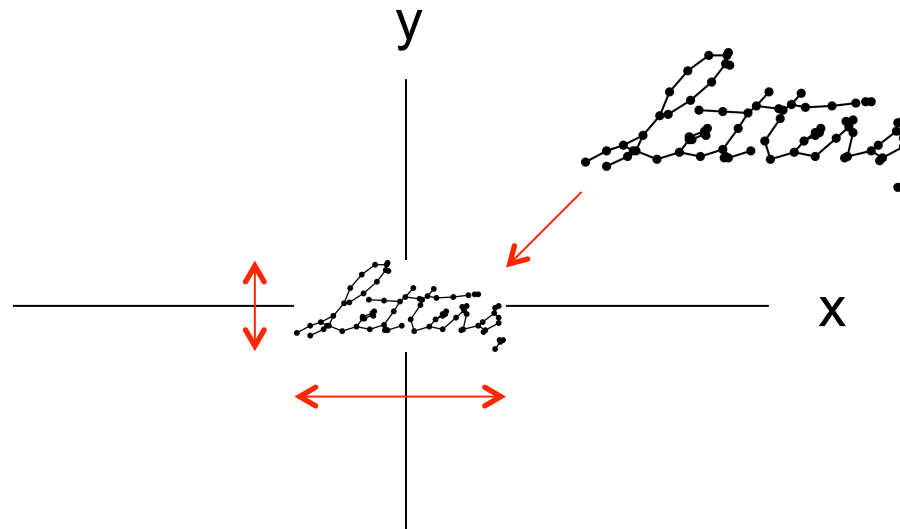
## Graph-based Keyword Spotting – Graph Representation

### Graph Normalisation by Centering & Scaling

$$x_n = (x - \mu_x) / \sigma_x$$

$$y_n = (y - \mu_y) / \sigma_y$$

$\sigma$  is standard deviation of node positions



## Experiments – Setup (Number of Words per Dataset)

### Validation

For both datasets (GW and PAR), the validation set consists of **1000 different random words** including at least **10 instances** of all 10 **keywords**.

### Testing

Dataset	Keywords	Train	Test
GW	105	2,447	1,224
PAR	1,217	11,468	6,869

## Experiments – Results KWS (mAP) / Validation and Testing

### Optimal D and corresponding Filter Rate FR

Method	GW			PAR		
	$D$	MAP	FR	$D$	MAP	FR
Keypoint	100	82.8	61.1	95	91.7	71.5
Grid	165	75.6	46.0	70	86.5	85.6
Projection	115	80.7	56.9	130	92.2	70.9
Split	155	76.4	44.6	145	90.9	57.5

### KWS with PGD

	GW		PAR	
	MAP	AP	MAP	AP
BP	66.08	54.99	62.04	60.74
PGD	58.54	44.77	42.65	31.63